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 DANIEL J. PFEFFERBAUM (248631)  
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 – and –

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 ELLEN GUSIKOFF STEWART (144892)  
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 12 San Diego, CA 92101  
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 13 619/231-7423 (fax)  
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 14 elleng@rgrdlaw.com  
 jforge@rgrdlaw.com

16 Lead Counsel for Lead Plaintiff

17 UNITED STATES DISTRICT COURT  
 18 NORTHERN DISTRICT OF CALIFORNIA  
 19 OAKLAND DIVISION

20	In re APPLE INC. SECURITIES	)	Case No. 4:19-cv-02033-YGR
	LITIGATION	)	
21	_____	)	<u>CLASS ACTION</u>
		)	
22	This Document Relates To:	)	SUPPLEMENTAL DECLARATION OF
		)	ROSS D. MURRAY REGARDING NOTICE
23	ALL ACTIONS.	)	DISSEMINATION AND REQUESTS FOR
	_____	)	EXCLUSION RECEIVED TO DATE
24			

25 DATE: September 17, 2024  
 26 TIME: 2:00 p.m.  
 JUDGE: Honorable Yvonne Gonzalez Rogers  
 27 CTRM: 1, 4th Floor

1 I, ROSS D. MURRAY, declare and state as follows:

2 1. I am employed as a Vice President of Securities by Gilardi & Co. LLC (“Gilardi”),  
3 located at 1 McInnis Parkway, Suite 250, San Rafael, California. Pursuant to this Court’s June 3,  
4 2024 Order Granting Preliminary Approval of Settlement and Setting Deadlines for Notice,  
5 Objection, Exclusion, and Final Fairness Hearing (“Notice Order”) (ECF 435), Gilardi was  
6 appointed as the Settlement Administrator in connection with the proposed Settlement of the  
7 above-captioned action (the “Action”).<sup>1</sup> I oversaw the notice services that Gilardi provided in  
8 accordance with the Notice Order.

9 2. I submit this declaration as a supplement to my earlier declaration, the Declaration  
10 of Ross D. Murray Regarding Notice Dissemination, Publication, and Requests for Exclusion  
11 Received to Date (the “Initial Mailing Declaration”) (ECF 439-2). The following statements are  
12 based on my personal knowledge and information provided to me by other Gilardi employees and  
13 if called to testify I could and would do so competently.

14 **UPDATE ON DISSEMINATION OF NOTICE**

15 3. As more fully detailed in the Initial Mailing Declaration, as of July 12, 2024, Gilardi  
16 had mailed or emailed a total of 27,946 Summary Notices to potential Class Members and their  
17 nominees. *See* Initial Mailing Declaration, ¶9.

18 4. Since July 12, 2024, Gilardi has mailed or emailed an additional 986,422 Summary  
19 Notices and 351 copies of the Class Action Settlement Notice and the Proof of Claim and Release  
20 form (collectively, the “Claim Package”) in response to requests from potential Class Members,  
21 brokers, and nominees and as a result of mail returned as undeliverable for which new addresses  
22 were identified and re-mailed to those new addresses. Therefore, as of August 30, 2024, Gilardi  
23 has mailed or emailed a total of 1,014,368 Summary Notices and 351 Claim Packages to potential  
24 Class Members and nominees. Additionally, Gilardi received a message from one institution

25 \_\_\_\_\_  
26 <sup>1</sup> Any capitalized terms used that are not otherwise defined herein shall have the meanings  
27 ascribed to them in the Amended Stipulation of Settlement dated May 21, 2024 (the “Stipulation”) (ECF 433-2), which is available on the website established for the Settlement at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com).

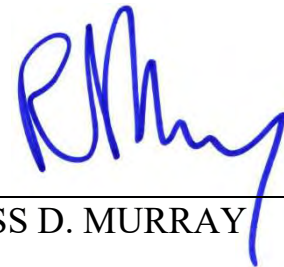
1 noting that they anticipated sending Summary Notices via email to 836,978 potential Class  
2 Members.

3 **REQUESTS FOR EXCLUSION RECEIVED TO DATE**

4 5. Pursuant to the Notice Order, the Notice informs potential Class Members that  
5 written requests for exclusion from the Class must be mailed to *2019 Apple Securities Settlement*,  
6 Claims Administrator, c/o Gilardi & Co. LLC, ATTN: EXCLUSIONS, P.O. Box 5100, Larkspur,  
7 CA 94977-5100, such that they are received no later than August 18, 2024. At the time of the  
8 Initial Mailing Declaration, Gilardi reported that it had not received any requests for exclusion in  
9 connection with this Settlement. *See* Initial Mailing Declaration, ¶14.

10 6. Since the Initial Mailing Declaration was executed, and as of the date of this  
11 declaration, Gilardi has received 17 timely requests for exclusion, and two late requests for  
12 exclusion, redacted versions of which are attached hereto as Exhibit A.

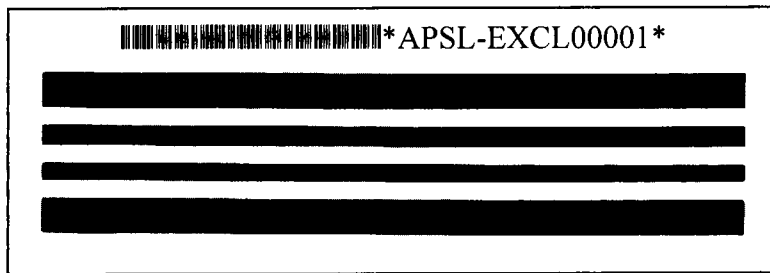
13 I declare under penalty of perjury that the foregoing is true and correct and that this  
14 declaration was executed this 30th day of August, 2024, at San Rafael, California.

15  
16   
17  
18

---

19 ROSS D. MURRAY

# EXHIBIT A



RECEIVED  
July 31, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Safiya Yunaeva

July 21, 2024

Safiya Yunaeva



Claim number: **APSL-40000166-7**

Dear Administrator,

I am a Class Member in the *In re Apple Inc. Securities Litigation*, No. 4:19-cv-02033-YGR since I have acquired 54 Apple shares on Feb 1, 2019. I have submitted the claim online which was assigned the claim id APSL-40000166-7.

Upon further consideration **I would like to be excluded from the Class**. Please exclude me from the Class and invalidate the claim.

With best regards, Safiya.

A handwritten signature in black ink, appearing to be 'Safiya', with a long horizontal line extending to the right.

George Yunaev  
Safiya Yunzava

Address Service Requested

██████████  
23 JUL 2024 PM 3 L



2019 APPLE SECURITIES  
SETTLEMENT  
CLAIMS ADMINISTRATOR  
C/O GIARDI & CO, LLC  
ATTN: EXCLUSIONS

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JUL 31 2024

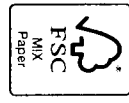
BY: .....

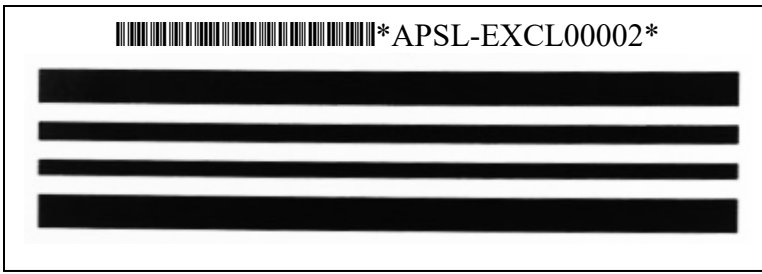
P.O. BOX 5100 LARKSPUR CA  
94977-5100

APSL

© USPS 2013

THIS ENVELOPE IS RECYCLABLE AND MADE WITH 30% POST CONSUMER CONTENT





RECEIVED  
August 1, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Stephen & Jacqueline Osborn TTEES



UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION

In re APPLE INC. SECURITIES LITIGATION	)	Case No. 4:19-cv-02033-YGR
	)	
<u>This Document Relates To:</u>	)	<u>CLASS ACTION</u>
ALL ACTIONS.	)	
	)	

**SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION**

**TO: ALL PERSONS WHO PURCHASED OR OTHERWISE ACQUIRED THE PUBLICLY TRADED SECURITIES OF APPLE INC. ("APPLE"), INCLUDING PURCHASERS OF APPLE CALL OPTIONS AND SELLERS OF APPLE PUT OPTIONS, DURING THE PERIOD FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, AND WHO SUFFERED DAMAGES BY DEFENDANTS' ALLEGED VIOLATIONS OF SECTIONS 10(b) AND 20(a) OF THE SECURITIES EXCHANGE ACT OF 1934 ("CLASS" OR "CLASS MEMBERS")**

**THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.**

YOU ARE HEREBY NOTIFIED that a hearing will be held on September 17, 2024, at 2:00 p.m., before the Honorable Yvonne Gonzalez Rogers at the United States District Court, Northern District of California, Ronald V. Dellums Federal Building & U.S. Courthouse, Courtroom 1 – 4th Floor, 1301 Clay Street, Oakland, CA 94612, to determine whether: (1) the proposed settlement (the "Settlement") of the above-captioned action as set forth in the Amended Stipulation of Settlement ("Stipulation")<sup>1</sup> for \$490 million in cash should be approved by the Court as fair, reasonable, and adequate; (2) the Judgment as provided under the Stipulation should be entered dismissing the Action with prejudice; (3) to award Lead Counsel attorneys' fees and expenses out of the Settlement Fund (as defined in the Class Action Settlement Notice ("Notice"), which is discussed below) and to award Representative Parties for their time and expenses pursuant to 15 U.S.C. §78u-4(a)(4), and, if so, in what amount, and (4) the Plan of Allocation should be approved by the Court as fair, reasonable, and adequate.

IF YOU PURCHASED OR OTHERWISE ACQUIRED APPLE PUBLICLY TRADED SECURITIES FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THIS ACTION.

To share in the distribution of the Net Settlement Fund, you must establish your rights by submitting a Proof of Claim and Release form ("Proof of Claim") by mail (**received no later than October 4, 2024**) or electronically (**no later than October 4, 2024**). Your failure to submit your Proof of Claim by October 4, 2024, will subject your claim to rejection and preclude you from receiving any of the recovery in connection with the Settlement of this Action.<sup>2</sup> If you purchased, or otherwise acquired, Apple publicly traded common stock or call options on Apple common stock, or sold put options on Apple stock during the period from November 2, 2018, through January 2, 2019, inclusive, and do not request exclusion from the Class, you will be bound by the Settlement and any judgment and release entered in the Action, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

You may review the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement), access the Proof of Claim, and find the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other Settlement documents, online at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com), or by writing to:

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

*Exclude US!*

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.

Inquiries, other than requests for the Notice or for a Proof of Claim, may be made to Lead Counsel:

**ROBBINS GELLER RUDMAN & DOWD LLP**  
Ellen Gusikoff Stewart  
655 West Broadway, Suite 1900, San Diego, CA 92101  
Telephone: 1-800-449-4900  
[settlementinfo@rgrdlaw.com](mailto:settlementinfo@rgrdlaw.com)

<sup>1</sup> The Stipulation can be viewed and/or obtained at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com).

<sup>2</sup> Proof of Claim forms that are legibly postmarked no later than October 4, 2024 will be treated as received on the postmark date. Please be advised that the U.S. Postal Service may not postmark mail which is not presented in person.

IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS **RECEIVED BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL CLASS MEMBERS WILL BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION, THE REQUEST BY LEAD COUNSEL FOR AN AWARD OF ATTORNEYS' FEES NOT TO EXCEED 25% OF THE SETTLEMENT AMOUNT AND EXPENSES NOT TO EXCEED \$3,000,000, PLUS INTEREST EARNED THEREON AND AN AWARD TO REPRESENTATIVE PARTIES NOT TO EXCEED \$73,000 IN THE AGGREGATE PURSUANT TO 15 U.S.C. §78u-4(a)(4). ANY OBJECTIONS MUST BE FILED WITH THE COURT **BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.

DATED: JUNE 3, 2024

BY ORDER OF THE COURT  
UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

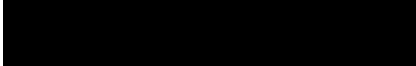


IMPORTANT LEGAL DOCUMENTS ENCLOSED.



APSLM-1037427-2 O  
Do Not Mark This Barcode  
253573

OSBORN FAMILY TRUST  
UAD 03/08/03  
STEPHEN OSBORN &  
JACQUELINE OSBORN TTEES

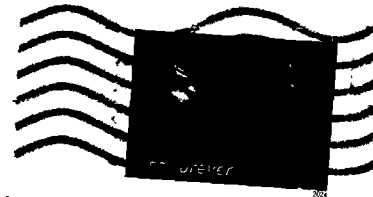


APSL

Exclude us!!!

S. Osborn

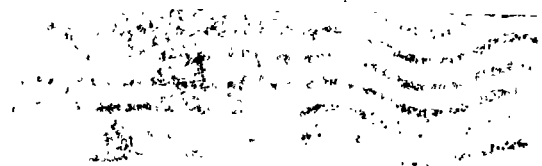
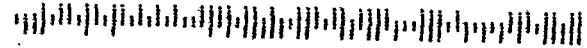
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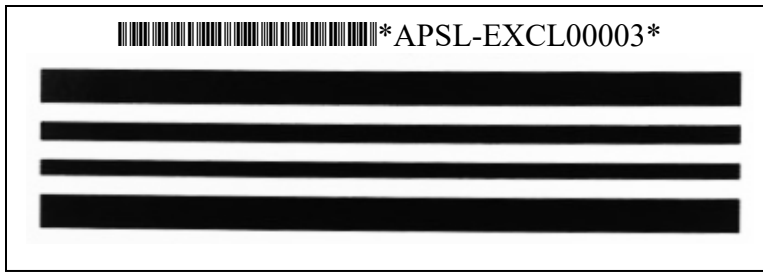


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VERITA GLOBAL

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi's Co LLC  
P.O. Box 301135  
Los Angeles CA 90030-1135

90030-113535





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August 9, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Atsuko H Morse

Atsuko H. Morse



August 5<sup>th</sup>, 2024

2019 Apple Securities Settlement Claims Administrator

c/o Gilardi & Co. LLC

P. O. Box 301135 Los Angeles, CA 90030-1135

Subject: Exclusion from the Class in the in re Apple Inc. Securities

Litigation Number: No. 4:19-cv-02033-YGR.

To whom it may concern,

I would like to exclude myself from the Class in *the In re Apple Inc. Securities Litigation* ;

Litigation Number: No. 4:19-cv-02033-YGR.

The followings are the requested information regarding my Apple stocks;

Date Acquired Quantity

11/01/18

Common Stocks

APPLE INC COM Dividend Option: Reinvest Multiple

Security Identifier: AAPL CUSIP: 037833100

Total Noncovered 1,043.266

Unit Cost 18.8480

Cost Basis 19,662.71

*ATSUKO H. MORSE*  
*2019 Apple Securities*  
*Litigation # : NO. 4:19-cv-02033-YGR*

Market Price 176.5800

Market Value 186,299.21

Unrealized Gain/Loss 166,636.50

Date Acquired Quantity

12/01/18

Common Stocks

APPLE INC COM Dividend Option: Reinvest Multiple

Security Identifier: AAPL CUSIP: 037833100

Total Noncovered 1,043.226

Unit Cost 18.8480

Cost Basis 19,662.71

Market Price 157.7400

Market Value 164,558.39

Unrealized Gain/Loss 144,895.68

Date Acquired Quantity

01/01/19

Common Stocks

APPLE INC COM Dividend Option: Reinvest Multiple

Security Identifier: AAPL CUSIP: 037833100

Total Noncovered 1,043.226

Unit Cost 18.8480

*Atsuko H. Morse*  
*2019 Apple Securities*  
*Litigation #: No. 4:19-cv-02033-YGR*

Market Price 166.4400

Market Value 173,634.45

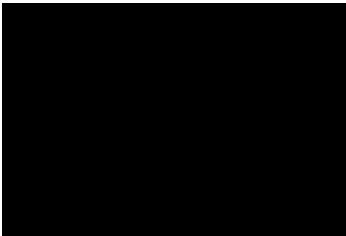
Unrealized Gain/Loss 153,971.74

I purchased Apple stocks through TIAA and would like to request exclusion from the class in *the in re Apple Inc. Securities Litigation* No. 4:19-cv-02033-YGR.

Sincerely

*Atsuko H. Morse*

Atsuko Morse

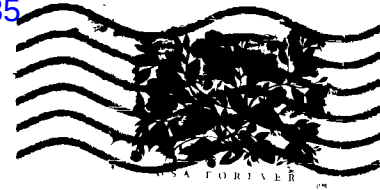




Ms. Atsuko H. Morse



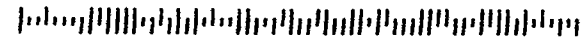
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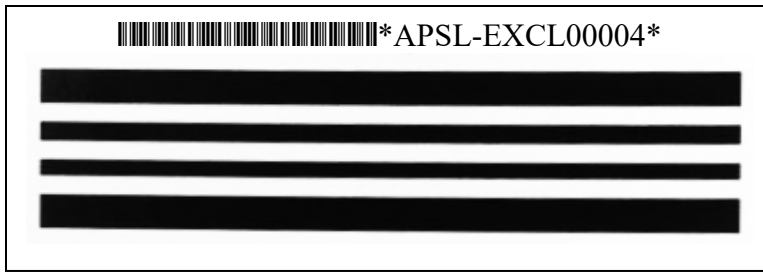
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2019 APPLE Securities  
Settlement Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

90030-113535







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August 9, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

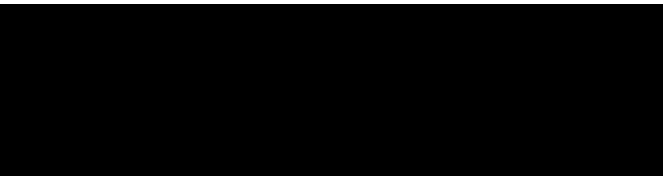
Name of Person Filing Exclusion: Susan Odell

August 1, 2024

You may EXCLUDE me from your Class Action Suit.

I am NOT interested in you Apple Lawsuit

Susan Odell



(sent to 2019 Apple Securites Settlement)

Claims Administrator

c/o Gilardi and Co llc

POBox 301135

Los Angeles CA 90030-1135



Susan M Odell



2019 Apple Securities Settlement

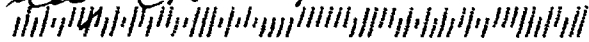
Claims Administrator

% Gilardi & Co LLC

Po Box 301135

Los Angeles CA 90030-1135

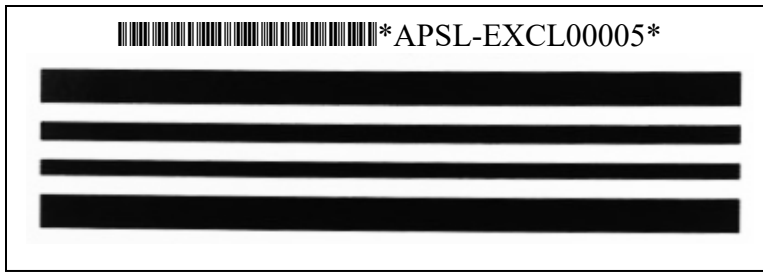
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August 13, 2024  
Claims Center

## Exclusion Cover Page

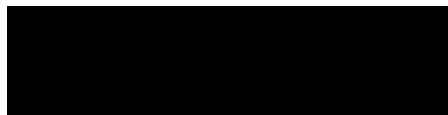
Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Melvin Belsky

August 9, 2024



Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

Re: Case o. 4:19-cv-02033-YGR  
In re Apple Inc. Securities Litigation

To Whom It May Concern:

I have not “purchased or otherwise acquired Apple publicly traded common stock or call options on Apple common stock, or sold put options on Apple stock during the period from November 2, 2018, through January 2, 2019, inclusive” and, therefore, wish to be excluded from the above-referenced case.

Thank you for you attention to this matter.

Yours truly,

A handwritten signature in black ink, appearing to read 'Melvin Belsky'.

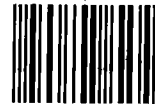
Melvin Belsky





Mr Melvin Belsky

**Retail**



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U.S. POSTAGE PAID  
FCM LETTER

AUG 09, 2024

**\$9.68**

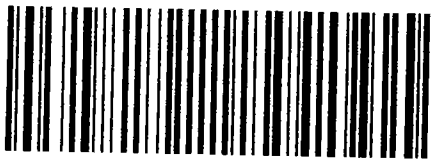
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PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT  
OF THE RETURN ADDRESS, FOLD AT DOTTED LINE

**CERTIFIED MAIL**

RDC 99



7022 0410 0002 0621 1556

RETURN RECEIPT  
REQUESTED

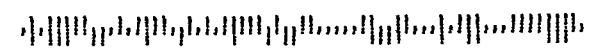
Claims Administrator  
c/o Gilardi & Co LLC  
PO Box 301135  
Los Angeles CA 90030-1135

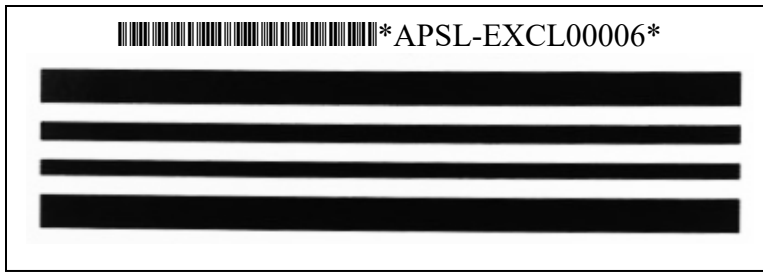
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RECEIVED  
August 13, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Thomas R and Doreen K Campbell

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION

In re APPLE INC. SECURITIES LITIGATION ) Case No. 4:19-cv-02033-YGR  
 )  
This Document Relates To: ) CLASS ACTION  
ALL ACTIONS. )  
 )

**SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION**

**TO: ALL PERSONS WHO PURCHASED OR OTHERWISE ACQUIRED THE PUBLICLY TRADED SECURITIES OF APPLE INC. ("APPLE"), INCLUDING PURCHASERS OF APPLE CALL OPTIONS AND SELLERS OF APPLE PUT OPTIONS, DURING THE PERIOD FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, AND WHO SUFFERED DAMAGES BY DEFENDANTS' ALLEGED VIOLATIONS OF SECTIONS 10(b) AND 20(a) OF THE SECURITIES EXCHANGE ACT OF 1934 ("CLASS" OR "CLASS MEMBERS")**

**THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.**

YOU ARE HEREBY NOTIFIED that a hearing will be held on September 17, 2024, at 2:00 p.m., before the Honorable Yvonne Gonzalez Rogers at the United States District Court, Northern District of California, Ronald V. Dellums Federal Building & U.S. Courthouse, Courtroom 1 – 4th Floor, 1301 Clay Street, Oakland, CA 94612, to determine whether: (1) the proposed settlement (the "Settlement") of the above-captioned action as set forth in the Amended Stipulation of Settlement ("Stipulation")<sup>1</sup> for \$490 million in cash should be approved by the Court as fair, reasonable, and adequate; (2) the Judgment as provided under the Stipulation should be entered dismissing the Action with prejudice; (3) to award Lead Counsel attorneys' fees and expenses out of the Settlement Fund (as defined in the Class Action Settlement Notice ("Notice"), which is discussed below) and to award Representative Parties for their time and expenses pursuant to 15 U.S.C. §78u-4(a)(4), and, if so, in what amount, and (4) the Plan of Allocation should be approved by the Court as fair, reasonable, and adequate.

IF YOU PURCHASED OR OTHERWISE ACQUIRED APPLE PUBLICLY TRADED SECURITIES FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THIS ACTION.

To share in the distribution of the Net Settlement Fund, you must establish your rights by submitting a Proof of Claim and Release form ("Proof of Claim") by mail (**received no later than October 4, 2024**) or electronically (**no later than October 4, 2024**). Your failure to submit your Proof of Claim by October 4, 2024, will subject your claim to rejection and preclude you from receiving any of the recovery in connection with the Settlement of this Action.<sup>2</sup> If you purchased, or otherwise acquired, Apple publicly traded common stock or call options on Apple common stock, or sold put options on Apple stock during the period from November 2, 2018, through January 2, 2019, inclusive, and do not request exclusion from the Class, you will be bound by the Settlement and any judgment and release entered in the Action, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

You may review the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement), access the Proof of Claim, and find the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other Settlement documents, online at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com), or by writing to:

*APPLE'S CLAIM ADMINISTRATOR* 2019 Apple Securities Settlement  
*WE WISH TO BE EXCLUDED.* Claims Administrator  
*Thomas R. Campbell / Debra K. Campbell* c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.

Inquiries, other than requests for the Notice or for a Proof of Claim, may be made to Lead Counsel:

**ROBBINS GELLER RUDMAN & DOWD LLP**  
Ellen Gusikoff Stewart  
655 West Broadway, Suite 1900, San Diego, CA 92101  
Telephone: 1-800-449-4900  
[settlementinfo@rgrdlaw.com](mailto:settlementinfo@rgrdlaw.com)

<sup>1</sup> The Stipulation can be viewed and/or obtained at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com).

<sup>2</sup> Proof of Claim forms that are legibly postmarked no later than October 4, 2024 will be treated as received on the postmark date. Please be advised that the U.S. Postal Service may not postmark mail which is not presented in person.



IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS **RECEIVED BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL CLASS MEMBERS WILL BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION, THE REQUEST BY LEAD COUNSEL FOR AN AWARD OF ATTORNEYS' FEES NOT TO EXCEED 25% OF THE SETTLEMENT AMOUNT AND EXPENSES NOT TO EXCEED \$3,000,000, PLUS INTEREST EARNED THEREON AND AN AWARD TO REPRESENTATIVE PARTIES NOT TO EXCEED \$73,000 IN THE AGGREGATE PURSUANT TO 15 U.S.C. §78u-4(a)(4). ANY OBJECTIONS MUST BE FILED WITH THE COURT **BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.


DATED: JUNE 3, 2024

BY ORDER OF THE COURT  
UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

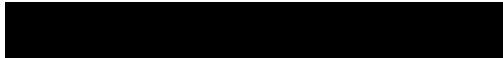


**IMPORTANT LEGAL DOCUMENTS ENCLOSED.**

  
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215418

THOMAS R CAMPBELL  
DOREEN K CAMPBELL JT TEN  


7 787 NFE 126052410007/28/24

CAMPBELL  


**APSL**

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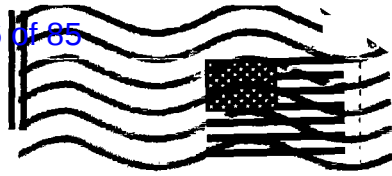
*2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135*

From: \_\_\_\_\_

Thomas Cambell

6

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Residents of the following states may request financial statements and other information from the offices indicated (the toll-free numbers are for use only within the respective states): Arizona: Financial information filed with the Secretary of State is available for public inspection or by calling toll free 1-800-458-5842. California: A copy of the Official Financial Statement may be obtained from the Attorney General's Registry of Charitable Trusts, Department of Justice, P.O. Box 903447, Sacramento, CA 94203-4470 or by calling (916)445-2021. Florida: A COPY OF THE OFFICIAL REGISTRATION AND FINANCIAL INFORMATION MAY BE OBTAINED FROM THE DIVISION OF CONSUMER SERVICES BY CALLING 1-800-435-7352, TOLL FREE, WITHIN THE STATE. REGISTRATION DOES NOT IMPLY ENDORSEMENT, APPROVAL OR RECOMMENDATION BY THE STATE. 100% of contributions are retained by non-profit organization. Georgia: Upon request, Best Friends Animal Society will provide a full and fair description of this and its other programs, and a financial statement or summary. Illinois: Contract and reports regarding the solicitation activities of non-profit organization are on file with the Illinois Attorney General. Kansas: The annual registration number is 371-590-1. The annual financial report for the preceding fiscal year is on file with the Secretary of State. Maryland: Copies of documents and information submitted by Best Friends Animal Society are available from the Office of the Secretary of State, Statehouse, Annapolis, MD 21401, 410-974-5534. Minnesota: One hundred percent of your gift may be deducted as a charitable contribution under Federal and State income tax laws. Mississippi: The official registration and financial information of Best Friends Animal Society may be obtained from the Mississippi Secretary of State's office by calling 1/888-236-6167. Registration by the Secretary of State does not imply endorsement by the Secretary of State. New Jersey: INFORMATION FILED WITH THE ATTORNEY GENERAL CONCERNING THIS CHARITABLE SOLICITATION MAY BE OBTAINED FROM THE ATTORNEY GENERAL OF THE STATE OF NEW JERSEY BY CALLING 973-504-6215. REGISTRATION WITH THE ATTORNEY GENERAL DOES NOT IMPLY ENDORSEMENT. New York: New York residents may obtain a copy of our annual report by writing to the Office of Attorney General, Charities Bureau, 120 Broadway, New York, NY 10271. North Carolina: Financial information about this organization and a copy of its license are available from the State Solicitation Licensing Branch at 888-830-4989. The license is not an endorsement by the state. Pennsylvania: A copy of the official registration and financial information of Best Friends Animal Society may be obtained from the Pennsylvania Department of State by calling toll free, within Pennsylvania, 1-800-732-0999. Registration does not imply endorsement. Virginia: Best Friends Animal Society files a financial report for each campaign it conducts. Copies of these financial reports are available from the Virginia Office of Consumer Affairs, PO Box 1163, Richmond, VA 23218. Washington: Financial disclosure information is currently on file with the Office of the Secretary of State, West Virginia: Secretary of State, State Capitol, Charleston, WV 25305. Registration does not imply endorsement. For copies of current financial statements, contact the address listed above or call Best Friends Animal Society directly at the telephone number listed above.

Animal Adoption; Animal Population Control.

APSL-EXCL00006\*APSL-EXCL00007\*



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August 19, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Rusti Baker Trust  
Rusti Baker TTEE

8/15/24, 2:07 PM

DreamHost Webmail :: Compose

Although details of process to declare exclusion are missing from the notice and the information posted at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com), I am writing to request Exclusion.

Based on the information provided from the bulk mail notice served at some time in July 2024, I am writing regarding 2019 Apple Inc, Securities Litigation to EXCLUDE The Rusti Baker Trust, and myself, Rusti Baker, as Trustee, from the class in this lawsuit against the Defendants.

Mv address for the notice was:

[REDACTED]

Current address is:

[REDACTED]

Printed copies of this notice will be posted by USPS on August 15, 2024 to:

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

and copy to:

ROBBINS GELLER RUDMAN & DOWD LLP  
Ellen Guiskoff Stewart  
655 W Broadway Suite 1900  
San Diego, CA 92101

--  
Rusti Baker

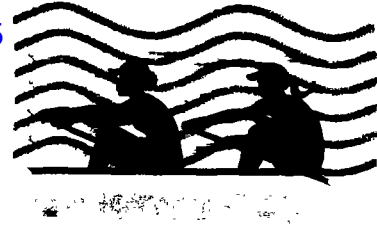
[REDACTED]



Ms. Rusti L. Baker



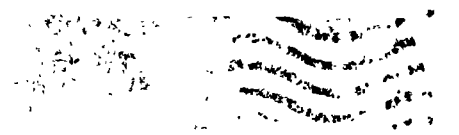
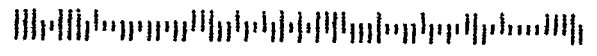
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## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: TIAA Trust, N.A. Cust  
FBO Linda R Michlin IRA

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

August 12, 2024

Dear Mr. or Ms. Gilardi :

I wish to be excluded from the 2019 Apple Securities Settlement.

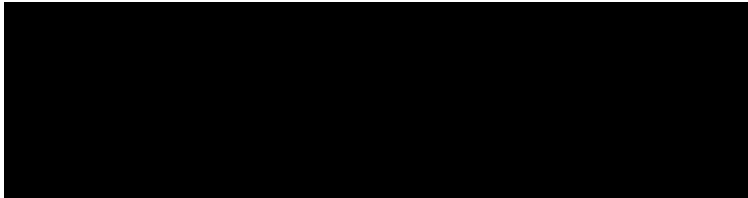
My November 2018 IRA statement shows that I had 48 shares of Apple stock.

My December 2018 statement, as well as, January 2019 and February 2019 statements all show the same 48 shares.

The unit cost is \$101.94 per share with \$ 4,893.19 cost basis.

Thank you very much,  
Linda R. Michlin

TIAA Trust, N.A. CUST  
FBO Linda R Michlin IRA



Linda R. Michlin

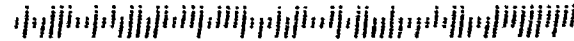
13 AUG 2024 PM 15 L



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Claims Administrator  
c/o Gilardi & Co. LLC  
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APSL-EXCL00006\*APSL-EXCL00009\*



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## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Sylvia Portillo

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi Co. LLC  
PO Box 301135  
Los Angeles, CA 90030-1135

August 15, 2024

Re: Class Action, Case No. 4:19-cv-02033-YRG


Dear Claims Administrator,

Today, August 15, 2024, I received a notice dated June 3, 2024, regarding Case No. 4:19-cv-02033-YRG, Class Action.

I am writing to you asking that I, Sylvia Portillo, be excluded from the Class Action. The reason for my request is that per the enclosed document (2019 Long Term Capital Gains Summary) associated with my 2019 income tax return it shows that my purchase of 11 shares of Apple stock on 02/06/2018 and subsequent sales of the same stock, 3 shares on 04/08/2019 and 8 shares on 09/11/2019, are outside the dates of 11/2/2018 through 1/2/2019 mentioned in the Class Action for any purchase or sales of Apple stock occurring during that 2-month period.

Thank you for your assistance with this matter.

Sincerely,



Sylvia Portillo



Enclosure

Print

## 2019 Long Term Capital Gain Summary

The Capital Gain Summary Reports provide an easy method to review all of your capital gain transactions during the year. To make your verification task easier, the transactions are broken down between short term and long term. Each list can be sorted alphabetically, or chronologically by the date sold. You may also print a copy to keep as part of your tax records or financial statements.

<u>Description</u>	<u>ReportingDate</u> Category	<u>Date Sold</u> <u>Acquired</u>	<u>Sales</u> Price	<u>Cost</u> or Basis	<u>Code</u>	<u>Adjustment</u> Amount	<u>Gain/Loss</u>
Apple Inc Com, AAPL D 3 Shares		02/06/201809/11/2019	655	473		0	182
Apple Inc Com, AAPL, D 8 Shares		02/06/201804/08/2019	1,262	1,588		0	326
Columbia Seligman Premium Tech Com, STK: 175 Shares	D	03/23/201804/20/2019	263,914	263,914	W	40	-948
Columbia Seligman Priemium Tech Com, STK 15.263 Shares	D	Various 08/29/2019	307	341	W	1	-33
National	D	02/06/201804/08/2019	380	330		0	50

S. Portillo



2019 Apple SECURITIES SETTLEMENT  
CLAIMS ADMINISTRATOR  
c/o GILARDI CO. LLC  
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LOS ANGELES, CA 90030-1135

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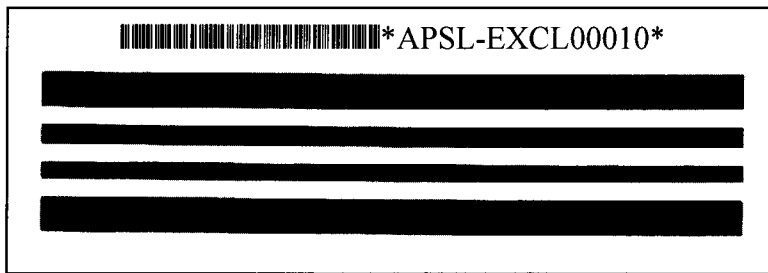
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Federal Agency Acct. No. or Postal Service™ Acct. No.

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PO ZIP Code <u>98012</u>	Scheduled Delivery Date (MM/DD/YY) <u>08/17/24</u>	Postage <u>\$ 30.45</u>	
Date Accepted (MM/DD/YY) <u>08/16/24</u>	Scheduled Delivery Time <u>5:00 PM</u>	Insurance Fee	COD Fee
Time Accepted <u>12:58</u>	<input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	\$	\$
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\$	\$	\$	\$
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Delivery Attempt (MM/DD/YY)	Time	Employee Signature	
	<input type="checkbox"/> AM <input type="checkbox"/> PM		

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## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Dmitry Kuznetsov

To:

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
ATTN: EXCLUSIONS  
P.O. Box 5100  
Larkspur, CA 94977-5100

From:

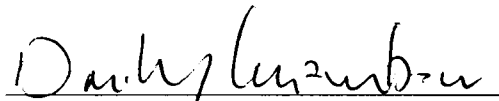
Dmitry Kuznetsov



August 9, 2024

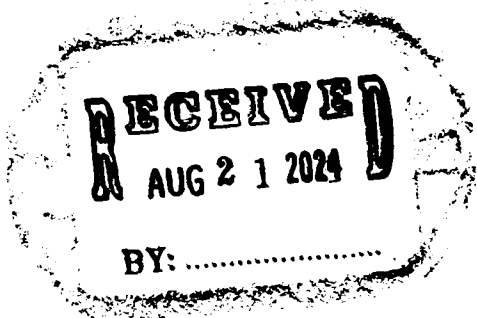
I would like to request an exclusion from the Class in the Apple Inc. Securities Litigation, No. 4:19-cv-02033-YGR.

I have not bought or sold any of the Apple Inc. securities during the time period of this Class Action Lawsuit (November 2, 2018, through January 2, 2019, inclusive).

  
Dmitry Kuznetsov

Dmitry Kutshov

12 AUG 2024 PM 12 L



2019 Apple Securities Settlement

Claims Administrator

c/o Gilardi & Co, LLC

ATTN: Exclusions

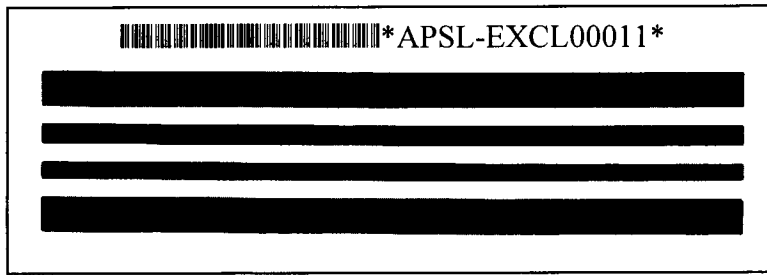
P.O. Box 5100 94977-5100

Larkspur, CA

94977-510000







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## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Dorado Ridgell

Dorado Ridgell



2024/8/15

2019 Apple Securities Settlement  
Claims Administrator  
C/O Gilardi & Co. LLC  
P. O. Box 5100  
Los Angeles, California 94977-5100

Dear Sir or madam:

You have been put on notice upon receipt of this letter  
I (Dorado Ridgell) requesting exclusion from the Class  
in the In re Apple Inc. Securities Litigation, No.  
4:19-cv-02033-YGR.

Included is purchases or acquisitions of Apple publicly  
traded Securities during the class period, including the dates  
and the number of Apple Common Shares or Call Options on  
Apple Common Shares I purchased or acquired and/or the  
date(s) and number of put options on Apple Common Shares  
I sold and submitting exclusion request so that it is  
received no later than August 18, 2024. My address and  
and phone number is stated above and signature below in  
accordance with the Internet Notice that can be found at 2019  
Apple Securities Settlement. Per your request my name is: Dorado

Dorado



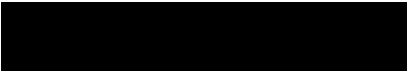
January 29, 2021

Trade Confirmation - Account [REDACTED]

**RJA Investment Central**  
 Raymond James & Associates, Inc.  
 50 N Front St Fl 19  
 Memphis, TN 38103-1155  
 Toll Free (800) 222-8866  
 RJAInvestmentCentral@RaymondJames.com

645731008646 01 MB 0.447 37 1195 4

Dorado Ridgell



**Raymond James Client Services**  
 800.647.SERV(7378)  
 Monday - Friday 8 a.m. to 9 p.m. ET

**Online Account Access**  
[raymondjames.com/clientaccess](http://raymondjames.com/clientaccess)

**Ridgell Account Trade Confirmation - Account # [REDACTED]**

**BROKERAGE**

These transactions were made in a non fee-based account. A commission and/or other fees are generally assessed on each transaction. Commissions are charged on individual transactions.

Equities

**EQ**



Number of Shares:	Net Amount:	Amount per Share:
[REDACTED]	[REDACTED]	[REDACTED]

**Trade Calculation**

Trade Amount:	\$6,746.25
Commission:	\$75.00
RT Fee:	\$0.15
Handling Fee:	\$5.95
Net Amount:	\$6,665.15

Price per Share: \$134.9250

Trade Date: 01/29/2021  
 Settlement Date: 02/02/2021

CUSIP: 037833100

**MARKET MAKER:** Raymond James & Associates, Inc. is a market maker in this security.

**PREFERRED COMMISSION:** Your financial advisor executed this trade for you at a discounted commission.

**CAPACITY:** This transaction was executed on an agency basis.

**UNSOLICITED:** You initiated this transaction.

**RT FEE:** See the References Section for additional information on this fee.

2021012900332049302405000002405

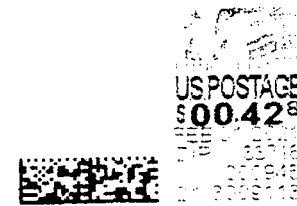
Please refer to the *Understanding Your Confirmation* page for additional information.



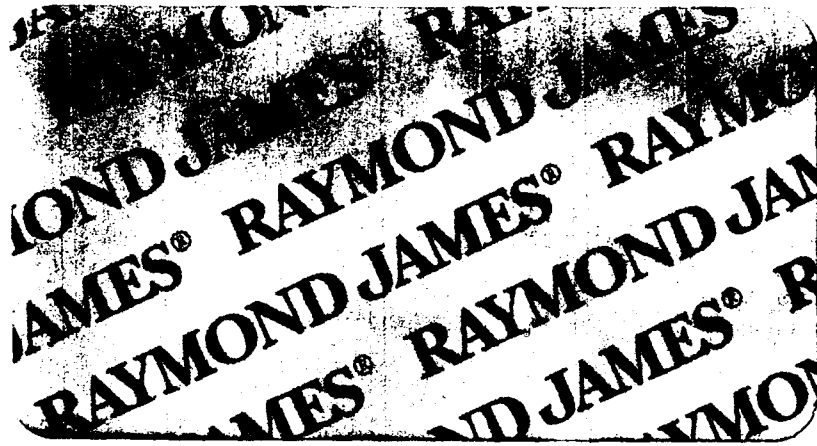
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August 14, 2020

Trade Confirmation - Account # [REDACTED]

**RJA Investment Central**  
 Raymond James & Associates, Inc.  
 50 N Front St Fl 19  
 Memphis, TN 38103  
 Toll Free (800) 222-8866  
 RJAInvestmentCentral@RaymondJames.com

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Dorado Ridgell



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**Ridgell Account Trade Confirmation - Account # [REDACTED]**

**BROKERAGE**

These transactions were made in a non fee-based account. A commission and/or other fees are generally assessed on each transaction. Commissions are charged on individual transactions.

Equities

**EQ**

**Buy**

**APPLE INCORPORATED (Symbol: AAPL)**

Number of Shares:	Total Cost:	Cost per Share:
<b>8.000</b>	<b>\$3,792.29</b>	<b>\$474.0363</b>

Trade  
 1 of 3

**Trade Calculation**

Trade Amount:	\$3,671.20
Commission:	\$115.14
Handling Fee:	\$5.95
<b>Total Cost:</b>	<b>\$3,792.29</b>

Price per Share: \$458.8999

Trade Date: 08/14/2020  
 Settlement Date: 08/18/2020

CUSIP: 037833100

**MARKET MAKER:** Raymond James & Associates, Inc. is a market maker in this security.

**AVERAGE PRICE TRADE:** The price for this transaction is an average price.

**TAXATION:** Income from this security, reportable in the year earned, is subject to federal, state and/or local taxes.

**CAPACITY:** This transaction was executed on an agency basis.

**UNSOLICITED:** You initiated this transaction.

20200814008504368023960000002396

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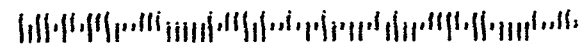


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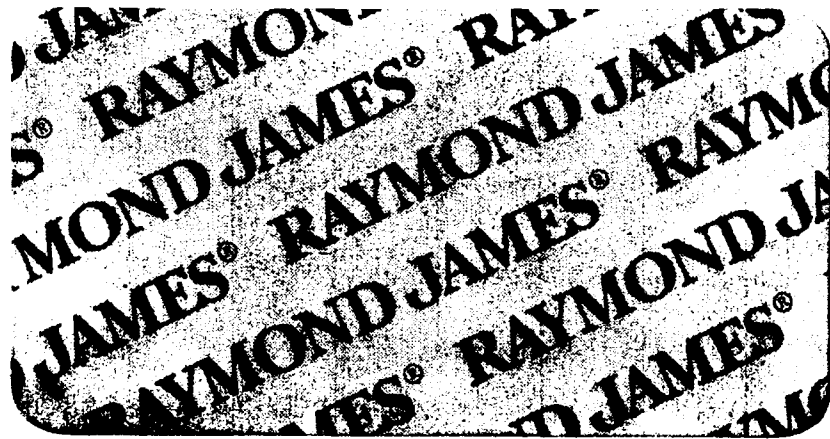
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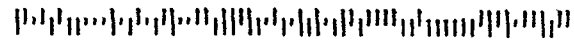


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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION

In re APPLE INC. SECURITIES LITIGATION ) Case No. 4:19-cv-02033-YGR  
 )  
This Document Relates To: ) CLASS ACTION  
ALL ACTIONS. )  
 )

**SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION**

**TO: ALL PERSONS WHO PURCHASED OR OTHERWISE ACQUIRED THE PUBLICLY TRADED SECURITIES OF APPLE INC. ("APPLE"), INCLUDING PURCHASERS OF APPLE CALL OPTIONS AND SELLERS OF APPLE PUT OPTIONS, DURING THE PERIOD FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, AND WHO SUFFERED DAMAGES BY DEFENDANTS' ALLEGED VIOLATIONS OF SECTIONS 10(b) AND 20(a) OF THE SECURITIES EXCHANGE ACT OF 1934 ("CLASS" OR "CLASS MEMBERS")**

**THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.**

YOU ARE HEREBY NOTIFIED that a hearing will be held on September 17, 2024, at 2:00 p.m., before the Honorable Yvonne Gonzalez Rogers at the United States District Court, Northern District of California, Ronald V. Dellums Federal Building & U.S. Courthouse, Courtroom 1 – 4th Floor, 1301 Clay Street, Oakland, CA 94612, to determine whether: (1) the proposed settlement (the "Settlement") of the above-captioned action as set forth in the Amended Stipulation of Settlement ("Stipulation")<sup>1</sup> for \$490 million in cash should be approved by the Court as fair, reasonable, and adequate; (2) the Judgment as provided under the Stipulation should be entered dismissing the Action with prejudice; (3) to award Lead Counsel attorneys' fees and expenses out of the Settlement Fund (as defined in the Class Action Settlement Notice ("Notice"), which is discussed below) and to award Representative Parties for their time and expenses pursuant to 15 U.S.C. §78u-4(a)(4), and, if so, in what amount, and (4) the Plan of Allocation should be approved by the Court as fair, reasonable, and adequate.

IF YOU PURCHASED OR OTHERWISE ACQUIRED APPLE PUBLICLY TRADED SECURITIES FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THIS ACTION.

To share in the distribution of the Net Settlement Fund, you must establish your rights by submitting a Proof of Claim and Release form ("Proof of Claim") by mail (**received no later than October 4, 2024**) or electronically (**no later than October 4, 2024**). Your failure to submit your Proof of Claim by October 4, 2024, will subject your claim to rejection and preclude you from receiving any of the recovery in connection with the Settlement of this Action.<sup>2</sup> If you purchased, or otherwise acquired, Apple publicly traded common stock or call options on Apple common stock, or sold put options on Apple stock during the period from November 2, 2018, through January 2, 2019, inclusive, and do not request exclusion from the Class, you will be bound by the Settlement and any judgment and release entered in the Action, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

You may review the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement), access the Proof of Claim, and find the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other Settlement documents, online at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com), or by writing to:

*2019 Apple Securities Settlement*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.  
Inquiries, other than requests for the Notice or for a Proof of Claim, may be made to Lead Counsel:

**ROBBINS GELLER RUDMAN & DOWD LLP**  
Ellen Gusikoff Stewart  
655 West Broadway, Suite 1900, San Diego, CA 92101  
Telephone: 1-800-449-4900  
[settlementinfo@rgrdlaw.com](mailto:settlementinfo@rgrdlaw.com)

<sup>1</sup> The Stipulation can be viewed and/or obtained at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com).  
<sup>2</sup> Proof of Claim forms that are legibly postmarked no later than October 4, 2024 will be treated as received on the postmark date. Please be advised that the U.S. Postal Service may not postmark mail which is not presented in person.

IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS **RECEIVED BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL CLASS MEMBERS WILL BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION, THE REQUEST BY LEAD COUNSEL FOR AN AWARD OF ATTORNEYS' FEES NOT TO EXCEED 25% OF THE SETTLEMENT AMOUNT AND EXPENSES NOT TO EXCEED \$3,000,000, PLUS INTEREST EARNED THEREON AND AN AWARD TO REPRESENTATIVE PARTIES NOT TO EXCEED \$73,000 IN THE AGGREGATE PURSUANT TO 15 U.S.C. §78u-4(a)(4). ANY OBJECTIONS MUST BE FILED WITH THE COURT **BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.

DATED: JUNE 3, 2024

BY ORDER OF THE COURT  
UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135



02

00277972



PRESORTED FIRST CLASS  
U.S. POSTAGE PAID  
FARMINGDALE, NY  
PERMIT NO.225

JOB#N05166-010 4#

*Received  
August 15, 2024*

**IMPORTANT LEGAL DOCUMENTS ENCLOSED.**



\*\*\*\*\*AUTO\*\*ALL FOR AADC 720  
Dorado Riddell

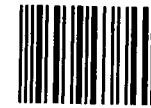


02

**APSL**

Retail

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AUG 16, 2024

\$30.45

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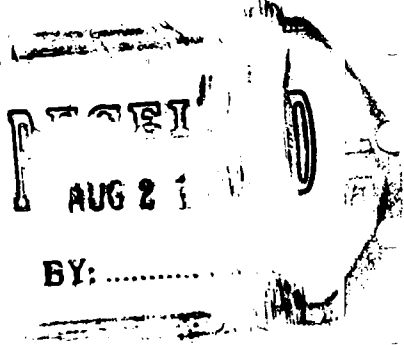
PLY TO SEAL

PRESS FIRMLY TO SEA

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**PRIORITY MAIL PRESS**

SERVICE IN THE U.S.



BY: .....

INTERNATIONALLY,  
DECLARATION  
REQUIRED.

D: 12.5 x 9.5



WRITE FIRMLY TO MAKE ALL COPIES LEGIBLE.

**CUSTOMER USE ONLY**

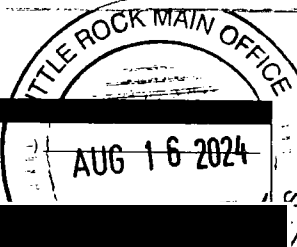
FROM: (PLEASE PRINT) **Dorado Ridgell** PHONE ( ) **AUG 16 2024**

**PAYMENT BY ACCOUNT** (if applicable)  
USPS® Corporate Acct. No. Federal Agency Acct. No. or Postal Service™ Acct. No.

**DELIVERY OPTIONS** (Customer Use Only)  
 SIGNATURE REQUIRED Note: The mailer must check the "Signature Required" box if the mailer: 1) Requires the addressee's signature; OR 2) Purchases additional insurance; OR 3) Purchases COD service; OR 4) Purchases Return Receipt service. If the box is not checked, the Postal Service will leave the item in the addressee's mail receptacle or other secure location without attempting to obtain the addressee's signature on delivery.  
**Delivery Options**  
 No Saturday Delivery (delivered next business day)  
 Sunday/Holiday Delivery Required (additional fee, where available\*)  
 10:30 AM Delivery Required (additional fee, where available\*)  
 \*Refer to USPS.com® or local Post Office™ for availability.

TO: (PLEASE PRINT) **2019 APPLE Securities Settlement**  
**Claims Administrator**  
**c/o Gilardi & CO. LLC, ATTN: EXCLUSIONS**  
**P.O. Box 5100, Larkspur, California**  
 PHONE ( )

ZIP + 4® (U.S. ADDRESSES ONLY)  
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EK 874172217 US



**PRIORITY MAIL EXPRESS**

**ORIGIN: (POSTAL SERVICE USE ONLY)**

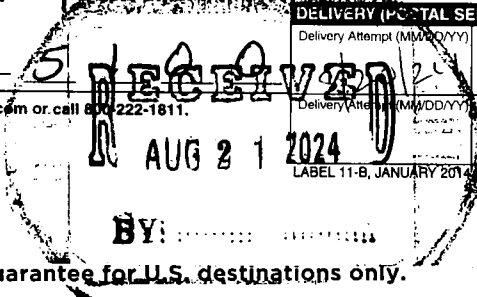
1-Day  2-Day  Military  DPO

PO ZIP Code	Scheduled Delivery Date (M/M/DD/YY)	Postage
87417	8/16/24	\$30.45
Date Accepted (M/M/DD/YY)	Scheduled Delivery Time	Insurance Fee
8/16/24	10:30 AM - 3:00 PM	\$
Time Accepted	10:30 AM Delivery Fee	Return Receipt Fee
		\$
Weight	Sunday/Holiday Premium Fee	Total Postage & Fees
Flat Rate	\$	\$30.45
lbs. ozs.	Acceptance Employee Initials	
	CLT	

**DELIVERY (POSTAL SERVICE USE ONLY)**

Delivery Attempt (M/M/DD/YY)	Time	Employee Signature
8/16/24	10:28 AM	[Signature]
Delivery Attempt (M/M/DD/YY)	Time	Employee Signature

For pickup or USPS Tracking™, visit USPS.com or call 800-222-1811.  
\$100.00 Insurance included.



APSL

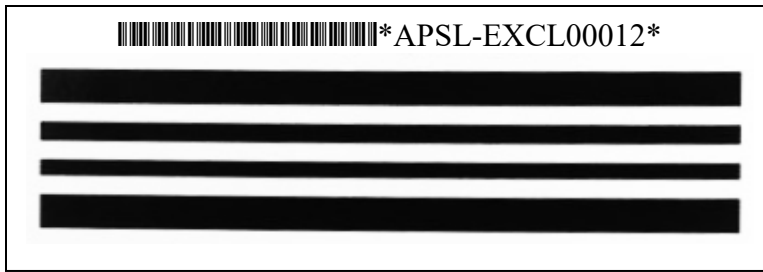
BY: .....

+ Money Back Guarantee for U.S. destinations only.

LABEL 11-B, JANUARY 2014

PSN 7690-02-000-9996

1-ORIGIN POST OFFICE COPY



RECEIVED  
August 21, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Beverly F Lind  
Kenneth E Lind (Decd)

August 16, 2024

2019 Apple Securities Settlement  
Claimed Administrator  
c/o Litardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

RE: Apple Inc. Securities Litigation  
Case No: 4:19-cv-02033-YGR  
CLASS ACTION

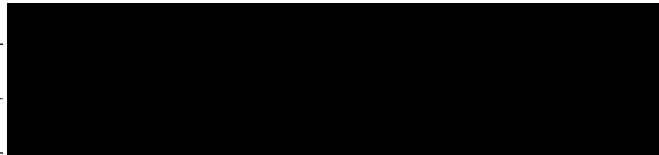
I am in receipt today of the summary notice of proposed settlement of class action.

This communication is to inform you of our request that we be excluded from the Class.

I have concerns that since we received this notice on August 16 that your due date for receipt of such requests is August 18, 2024, the short notice would prevent your receipt of our wish for exclusion in time. With this explanation I hope you can still exclude us.

Sincerely yours,

Cecily F. Lind  
(Mrs.) Kenneth E. Lind



Enclosed is a copy of my husband's death certificate



CERTIFICATION OF VITAL RECORD

VOID [REDACTED] VOID

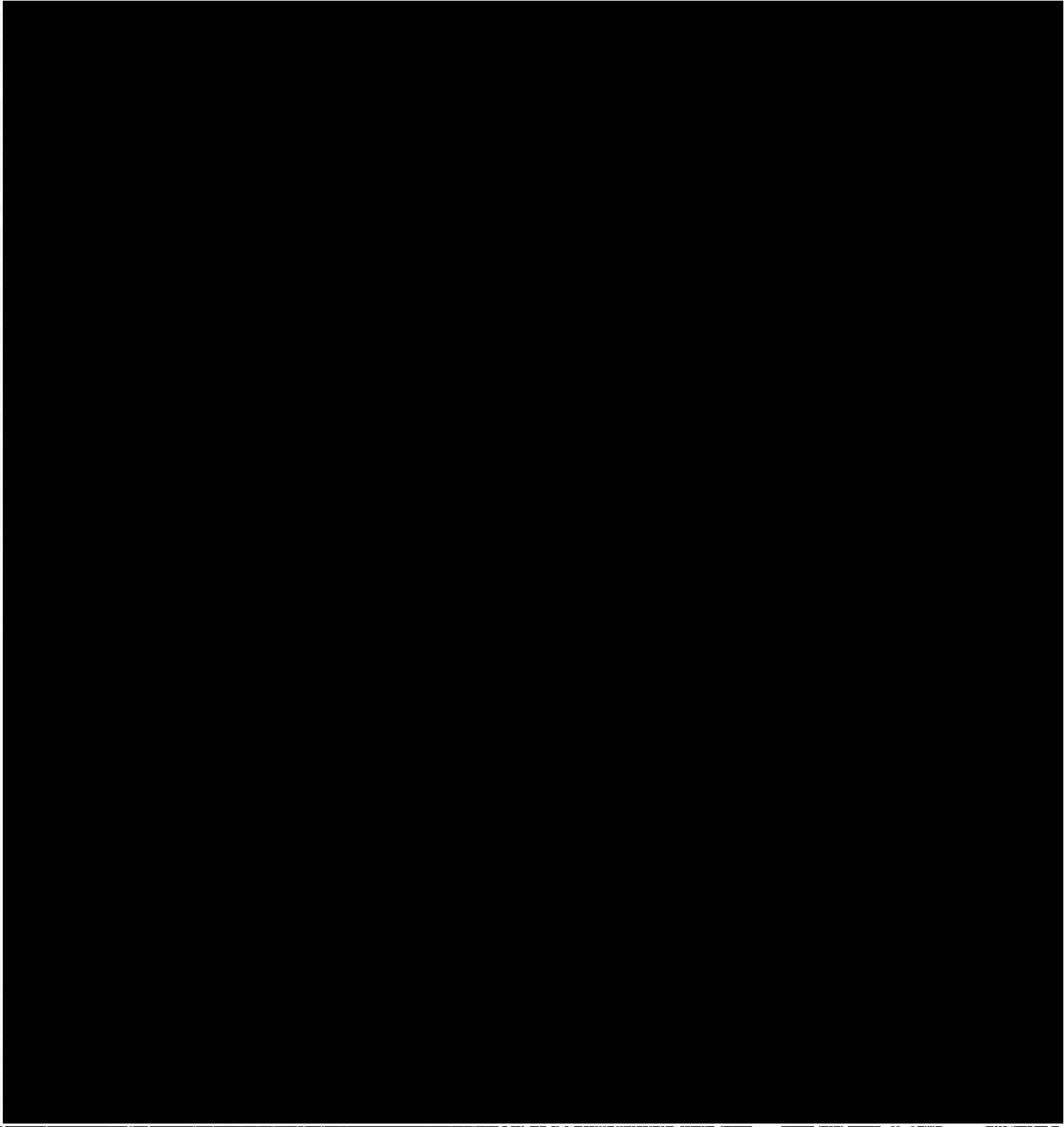
CERTIFICATE OF DEATH

BIRTH NUMBER: Not Available

DECEDENT INFORMATION

NAME: Kenneth Emanuel Lind

DATE FILED: 12/03/2021



STATE OF OHIO

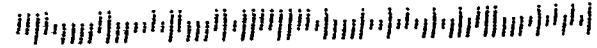
U.S. MAIL PERMIT NO. 217

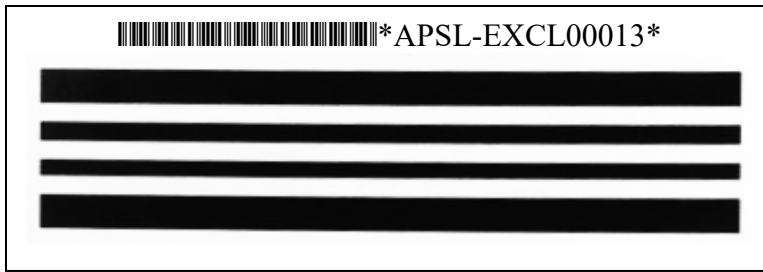


2019 Apple Securities Settlement  
Claims Administrator  
c/o Gibraltar & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

RECEIVED  
AUG 21 2024  
VERITA GLOBAL

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August 21, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Issam Moubarak

To: Claims Administrator

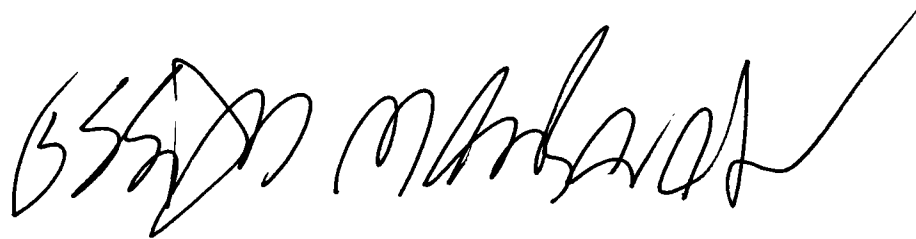
From: Issam Moubarak

Date: 8/14/24

Case NO. 4:19-cv-02033-YGR

I desire to be **excluded** from the Class

Sincerely,

A handwritten signature in black ink, appearing to read "Issam Moubarak", with a long, sweeping flourish extending to the right.

Issam Moubarak

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION

In re APPLE INC. SECURITIES LITIGATION	)	Case No. 4:19-cv-02033-YGR
	)	
_____	)	<u>CLASS ACTION</u>
This Document Relates To:	)	
ALL ACTIONS.	)	
_____	)	

**SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION**

**TO: ALL PERSONS WHO PURCHASED OR OTHERWISE ACQUIRED THE PUBLICLY TRADED SECURITIES OF APPLE INC. (“APPLE”), INCLUDING PURCHASERS OF APPLE CALL OPTIONS AND SELLERS OF APPLE PUT OPTIONS, DURING THE PERIOD FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, AND WHO SUFFERED DAMAGES BY DEFENDANTS’ ALLEGED VIOLATIONS OF SECTIONS 10(b) AND 20(a) OF THE SECURITIES EXCHANGE ACT OF 1934 (“CLASS” OR “CLASS MEMBERS”)**

**THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.**

YOU ARE HEREBY NOTIFIED that a hearing will be held on September 17, 2024, at 2:00 p.m., before the Honorable Yvonne Gonzalez Rogers at the United States District Court, Northern District of California, Ronald V. Dellums Federal Building & U.S. Courthouse, Courtroom 1 – 4th Floor, 1301 Clay Street, Oakland, CA 94612, to determine whether: (1) the proposed settlement (the “Settlement”) of the above-captioned action as set forth in the Amended Stipulation of Settlement (“Stipulation”)<sup>1</sup> for \$490 million in cash should be approved by the Court as fair, reasonable, and adequate; (2) the Judgment as provided under the Stipulation should be entered dismissing the Action with prejudice; (3) to award Lead Counsel attorneys’ fees and expenses out of the Settlement Fund (as defined in the Class Action Settlement Notice (“Notice”), which is discussed below) and to award Representative Parties for their time and expenses pursuant to 15 U.S.C. §78u-4(a)(4), and, if so, in what amount, and (4) the Plan of Allocation should be approved by the Court as fair, reasonable, and adequate.

IF YOU PURCHASED OR OTHERWISE ACQUIRED APPLE PUBLICLY TRADED SECURITIES FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THIS ACTION.

To share in the distribution of the Net Settlement Fund, you must establish your rights by submitting a Proof of Claim and Release form (“Proof of Claim”) by mail (**received no later than October 4, 2024**) or electronically (**no later than October 4, 2024**). Your failure to submit your Proof of Claim by October 4, 2024, will subject your claim to rejection and preclude you from receiving any of the recovery in connection with the Settlement of this Action.<sup>2</sup> If you purchased, or otherwise acquired, Apple publicly traded common stock or call options on Apple common stock, or sold put options on Apple stock during the period from November 2, 2018, through January 2, 2019, inclusive, and do not request exclusion from the Class, you will be bound by the Settlement and any judgment and release entered in the Action, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

You may review the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement), access the Proof of Claim, and find the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other Settlement documents, online at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com), or by writing to:

*2019 Apple Securities Settlement*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.

Inquiries, other than requests for the Notice or for a Proof of Claim, may be made to Lead Counsel:

ROBBINS GELLER RUDMAN & DOWD LLP  
Ellen Gusikoff Stewart  
655 West Broadway, Suite 1900, San Diego, CA 92101  
Telephone: 1-800-449-4900  
[settlementinfo@rgrdlaw.com](mailto:settlementinfo@rgrdlaw.com)

<sup>1</sup> The Stipulation can be viewed and/or obtained at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com).

<sup>2</sup> Proof of Claim forms that are legibly postmarked no later than October 4, 2024 will be treated as received on the postmark date. Please be advised that the U.S. Postal Service may not postmark mail which is not presented in person.

IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS **RECEIVED BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL CLASS MEMBERS WILL BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION, THE REQUEST BY LEAD COUNSEL FOR AN AWARD OF ATTORNEYS' FEES NOT TO EXCEED 25% OF THE SETTLEMENT AMOUNT AND EXPENSES NOT TO EXCEED \$3,000,000, PLUS INTEREST EARNED THEREON AND AN AWARD TO REPRESENTATIVE PARTIES NOT TO EXCEED \$73,000 IN THE AGGREGATE PURSUANT TO 15 U.S.C. §78u-4(a)(4). ANY OBJECTIONS MUST BE FILED WITH THE COURT **BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.

DATED: JUNE 3, 2024

BY ORDER OF THE COURT  
UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

*2019 Apple Securities Settlement*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

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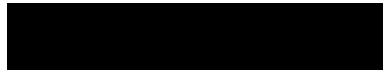
PRESORTED FIRST CLASS  
U.S. POSTAGE PAID  
FARMINGDALE, NY  
PERMIT NO.225  
JOB#N05166 - 010

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**IMPORTANT LEGAL DOCUMENTS ENCLOSED.**



\*\*\*\*\*AUTO\*\*SCH 5-DIGIT 08543  
ISSAM F MOUBARAK



  
07

**APSL**



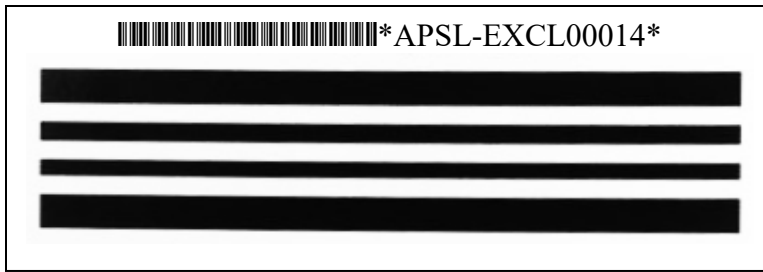
15 AUG 2024 PM 6 L



RECEIVED  
AUG 21 2024  
VERITA GLOBAL

2019 Apple Securities  
Settlement  
Claims Administrator  
C/O Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles CA 90030-1135





RECEIVED  
August 21, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Leonard and Eileen DeStefano



[REDACTED]  
August 14, 2024

2019 Apple Settlement  
Claims Administrator  
c/o Gilardi & Company, LLC  
PO Box 301135  
Los Angeles, CA 90030-1135

RE: Apple Claims Settlement

Please be advised that my wife, Eileen. And I wish to be excluded from the 2019 Apple Claims Settlement, Case No. 4.19-cv-02033-YGR.

Attached is a copy of Apple relevant transactions and positions.

Also, Please be aware that I did not receive the class action notice until August 14, 2024.

Our phone number is [REDACTED] and our home address is [REDACTED]  
[REDACTED]

*Leonard DeStefano*  
Leonard DeStefano

*Eileen DeStefano*  
Eileen DeStefano

LIST OF TRANSACTIONS AND POSITIONS

Client Name:	LEONARD DESTEFANO & EILEEN DESTEFANO JT WROS	Account#:	Account Type: AAA	Household Tier: STDCAP	FA#:	FA Name: The ORourke Finegan Group
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CUSIP#	Security#	Security Type	Security Name	Transaction Type	Transaction Description	Quantity	Price(\$)	Transaction Date	Total Cost (\$)	Position Begin Date	Quantity	Position End Date	Quantity
037833100	000004973	STKCOM	APPLE INC							11/02/2018	218 04		
037833100	000004973	STKCOM	APPLE INC	TRD	Bought	0 93	171 57	02/14/2019	159 78				
037833100	000004973	STKCOM	APPLE INC	TRD	Bought	0 84	189 94	11/15/2018	159 17				
037833100	000004973	STKCOM	APPLE INC									04/02/2019	219 81

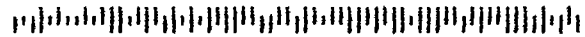
15 AUG 2024 PM 10 L

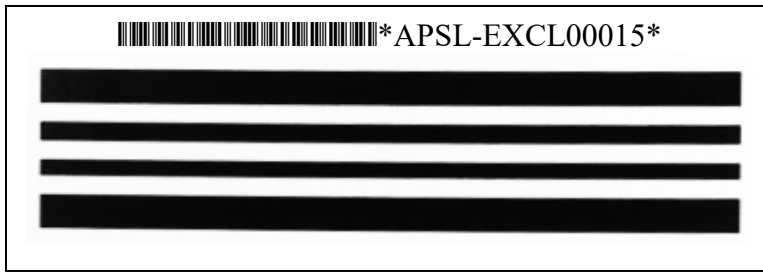


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AUG 21 2024  
VERITA GLOBAL

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
PO Box 301135  
Los Angeles, CA 90030-1135

90030-113535





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August 21, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Betty Brattain Rollover IRA  
PTC Cust

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

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PRESORTED FIRST CLASS  
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FARMINGDALE, NY  
PERMIT NO.225

JOB#N05166-010 17#

IMPORTANT LEGAL DOCUMENTS ENCLOSED.



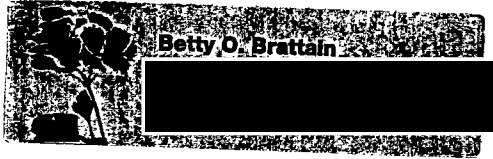
\*\*\*\*\*AUTO\*\*ALL FOR AADC 460  
PTC CUST ROLLOVER IRA FBO  
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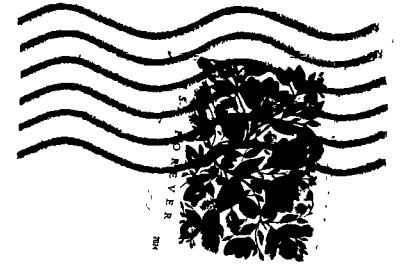
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*Please  
exclude*

**APSL**



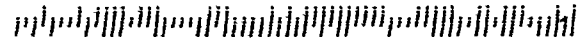
16 AUG 2024 PM 1 L

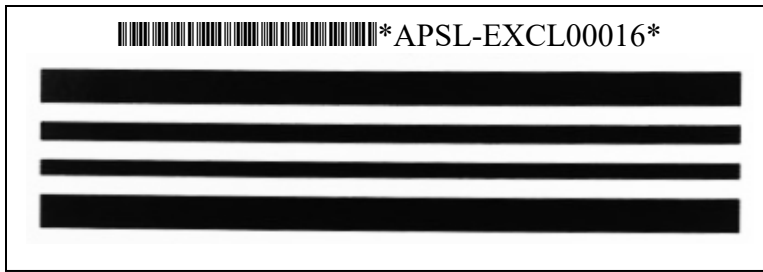


RECEIVED  
AUG 21 2024  
VERITA GLOBAL

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

90030-113535





RECEIVED  
August 21, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Betty Brattain Rollover IRA  
TOD Account

Federal Building &

ent

the postmark date. Please be advised

00184077



07

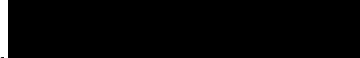
PRESORTED FIRST CLASS  
U.S. POSTAGE PAID  
FARMINGDALE, NY  
PERMIT NO.225

JOB#N05166-010 17#

**IMPORTANT LEGAL DOCUMENTS ENCLOSED.**



\*\*\*\*\*AUTO\*\*ALL FOR AADC 460  
BETTY A BRATTAIN  
TOD ACCOUNT



07

**APSL**

*Please  
Enclose!*

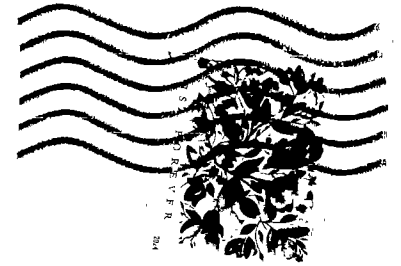




Betty O. Brattain



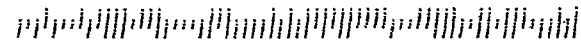
16 AUG 2024 PM 1 L

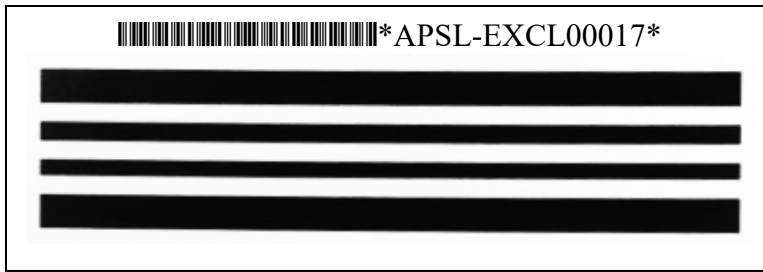


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AUG 21 2024  
VERITA GLOBAL

2019 Apple Securities Settlem  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

90030-113535





RECEIVED  
August 26, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Neil F Graff

IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS **RECEIVED BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL CLASS MEMBERS WILL BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION, THE REQUEST BY LEAD COUNSEL FOR AN AWARD OF ATTORNEYS' FEES NOT TO EXCEED 25% OF THE SETTLEMENT AMOUNT AND EXPENSES NOT TO EXCEED \$3,000,000, PLUS INTEREST EARNED THEREON AND AN AWARD TO REPRESENTATIVE PARTIES NOT TO EXCEED \$73,000 IN THE AGGREGATE PURSUANT TO 15 U.S.C. §78u-4(a)(4). ANY OBJECTIONS MUST BE FILED WITH THE COURT **BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.

DATED: JUNE 3, 2024

*I would like to be excluded from the class.*

BY ORDER OF THE COURT  
UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

*Neil F. Graff 8/16/24*

00270351



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PRESORTED FIRST CLASS  
U.S. POSTAGE PAID  
FARMINGDALE, NY  
PERMIT NO.225

JOB#N05166-010 35#

IMPORTANT LEGAL DOCUMENTS ENCLOSED.



\*\*\*\*\*AUTO\*\*SCH 5-DIGIT 68154  
NEIL F. GRAFF



01

APSL

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION

In re APPLE INC. SECURITIES LITIGATION	)	Case No. 4:19-cv-02033-YGR
	)	
<u>This Document Relates To:</u>	)	<u>CLASS ACTION</u>
ALL ACTIONS.	)	
	)	

**SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION**

**TO: ALL PERSONS WHO PURCHASED OR OTHERWISE ACQUIRED THE PUBLICLY TRADED SECURITIES OF APPLE INC. ("APPLE"), INCLUDING PURCHASERS OF APPLE CALL OPTIONS AND SELLERS OF APPLE PUT OPTIONS, DURING THE PERIOD FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, AND WHO SUFFERED DAMAGES BY DEFENDANTS' ALLEGED VIOLATIONS OF SECTIONS 10(b) AND 20(a) OF THE SECURITIES EXCHANGE ACT OF 1934 ("CLASS" OR "CLASS MEMBERS")**

**THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.**

YOU ARE HEREBY NOTIFIED that a hearing will be held on September 17, 2024, at 2:00 p.m., before the Honorable Yvonne Gonzalez Rogers at the United States District Court, Northern District of California, U.S. Courthouse, Courtroom 1 – 4th Floor, 1301 Clay Street, Oakland, CA 94612, to discuss the proposed settlement (the "Settlement") of the above-captioned action as set forth in the Amended Stipulation. The Settlement for \$490 million in cash should be approved by the Court as fair, reasonable, and adequate; (2) to enter the Stipulation should be entered dismissing the Action with prejudice; (3) to award Lead Counsel fees out of the Settlement Fund (as defined in the Class Action Settlement Notice ("Notice"), which is attached as an exhibit to this Summary Notice); and (4) to approve the Plan of Allocation should be approved by the Court as fair, reasonable, and adequate.

IF YOU PURCHASED OR OTHERWISE ACQUIRED APPLE PUBLICLY TRADED SECURITIES FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THIS ACTION.

To share in the distribution of the Net Settlement Fund, you must establish your rights by submitting a Proof of Claim and Release form ("Proof of Claim") by mail (**received no later than October 4, 2024**) or electronically (**no later than October 4, 2024**). Your failure to submit your Proof of Claim by October 4, 2024, will subject your claim to rejection and preclude you from receiving any of the recovery in connection with the Settlement of this Action.<sup>2</sup> If you purchased, or otherwise acquired, Apple publicly traded common stock or call options on Apple common stock, or sold put options on Apple stock during the period from November 2, 2018, through January 2, 2019, inclusive, and do not request exclusion from the Class, you will be bound by the Settlement and any judgment and release entered in the Action, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

You may review the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement), access the Proof of Claim, and find the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other Settlement documents, online at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com), or by writing to:

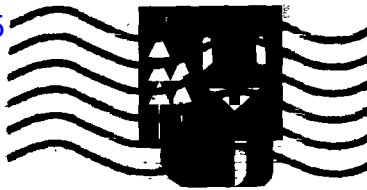
*2019 Apple Securities Settlement*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.

Inquiries, other than requests for the Notice or for a Proof of Claim, may be made to Lead Counsel:

**ROBBINS GELLER RUDMAN & DOWD LLP**  
Ellen Gusikoff Stewart  
655 West Broadway, Suite 1900, San Diego, CA 92101  
Telephone: 1-800-449-4900  
[settlementinfo@rgrdlaw.com](mailto:settlementinfo@rgrdlaw.com)

<sup>1</sup> The Stipulation can be viewed and/or obtained at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com).  
<sup>2</sup> Proof of Claim forms that are legibly postmarked no later than October 4, 2024 will be treated as received on the postmark date. Please be advised that the U.S. Postal Service may not postmark mail which is not presented in person.



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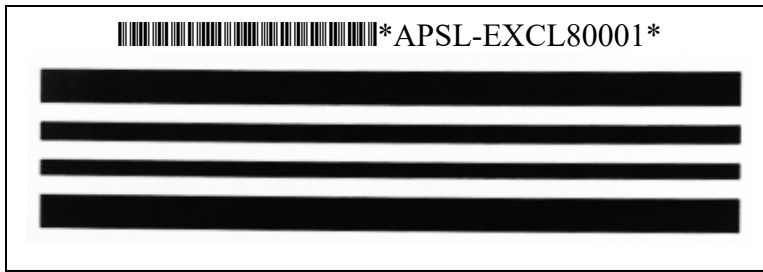
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VERITA GLOBAL

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

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August 26, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Carol P Ellis TR  
Carol P Ellis TTEE  
U/A Dtd 12/28/1992

IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS **RECEIVED BY AUGUST 18, 2024**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL CLASS MEMBERS WILL BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF OF CLAIM.

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DATED: JUNE 3, 2024

*I do desire to be excluded from the class -  
Carol Ellis*

BY ORDER OF THE COURT  
UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

2019 Apple Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

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U.S. POSTAGE PAID  
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JOB#N05166-010 1#

IMPORTANT LEGAL DOCUMENTS ENCLOSED.



\*\*\*\*\*AUTO\*\*ALL FOR AADC 600  
CAROL P ELLIS TR  
CAROL P ELLIS TTEE  
U/A DTD 12/28/1992



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APSL

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION

In re APPLE INC. SECURITIES LITIGATION	)	Case No. 4:19-cv-02033-YGR
	)	
<u>This Document Relates To:</u>	)	<u>CLASS ACTION</u>
ALL ACTIONS.	)	
	)	

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IF YOU PURCHASED OR OTHERWISE ACQUIRED APPLE PUBLICLY TRADED SECURITIES FROM NOVEMBER 2, 2018, THROUGH JANUARY 2, 2019, INCLUSIVE, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THIS ACTION.

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You may review the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement), access the Proof of Claim, and find the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other Settlement documents, online at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com), or by writing to:

*2019 Apple Securities Settlement*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 301135  
Los Angeles, CA 90030-1135

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.

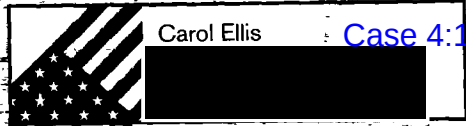
Inquiries, other than requests for the Notice or for a Proof of Claim, may be made to Lead Counsel:

**ROBBINS GELLER RUDMAN & DOWD LLP**  
Ellen Gusikoff Stewart  
655 West Broadway, Suite 1900, San Diego, CA 92101  
Telephone: 1-800-449-4900  
[settlementinfo@rgrdlaw.com](mailto:settlementinfo@rgrdlaw.com)

<sup>1</sup> The Stipulation can be viewed and/or obtained at [www.2019AppleSecuritiesSettlement.com](http://www.2019AppleSecuritiesSettlement.com).

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Carol Ellis



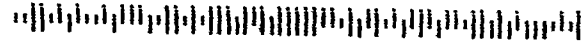
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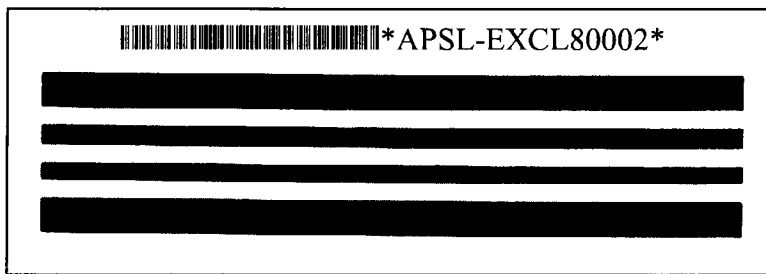
RECEIVED  
AUG 26 2024  
VERITA GLOBAL

*Claims Administrator*  
*P.O. Box 301135*  
*Los Angeles Ca*  
*90030-1135*

90030-113535



*11/24*



RECEIVED  
August 28, 2024  
Claims Center

## Exclusion Cover Page

Case Name: 2019 Apple Securities Litigation

Case Code: APSL

Exclusion Deadline: August 18, 2024 (Receive on or before)

Name of Person Filing Exclusion: Tom L Jay

Tom Jay



Re: Apple Inc. Securities Litigation

August 19, 2024

To Whom It May Concern,

Received the 2019 Apple Securities Settlement notice last Saturday (August 17) in the mail. Your submitting date for exclusion must be received by August 18, 2024. This will not allow us a reasonable time to respond.

We had no Apple Call or Put options for our stock holdings with Apple Inc. from November 2, 2018, through January 2, 2019. I have included a copy of our Apple Inc. transactions of our stock holdings from 2014 to 2020

We would prefer to be excluded from the settlement.

Sincerely,

A handwritten signature in black ink that reads "Tom L. Jay".

Tom L. Jay

Citigroup, Inc. 97.17724 Shares @ \$29.2882 for total cost of \$2,846.15. Detail Information not available. March 24, 2020 Sold: 25 shares @ \$38.88, 72 shares @ 38.8864 and 017724 shares @ \$38.88 for a total of \$3,778.60.

Date	Shares/Stock Name	Purchase Price/Share	Broker Fee
4/29/2014	20 Apple Inc. (AAPL) Transaction No. 2400103968310	\$594.10	\$5.95
6/9/2014	140 Shares. 7:1 Stock Split Stock Split June 6, 2014 Closing Price of \$645.57	\$91.77	\$5.95
8/19/2014	60 Apple Inc. (AAPL) Transaction No. 2400106994963	\$ 99.57	\$5.95

8/31/2020	600 Shares 4:1 Stock Split		
	Stock Split August 31 2020 Closing Price of \$535.80	\$133.95	\$0

8/31/2020	Shares/Stock Name	Purchase Price/Share	Broker Fee
	50 Apple Inc. (AAPL) Broker: E-Trade	\$127.919	\$0??
<b>Not including dividend earning converted to additional shares</b>			
3/14/2024	** Gifted to both Keith & Brent 35 Shares each @ \$179.66 P/S		

Date	Shares/Stock Name	Purchase Price/Share	Broker Fee
9/19/2018	100 General Electric Co. (GE) Transaction No. 2400144659908	\$12.86	\$5.95

9/24/2018	Shares/Stock Name	Purchase Price/Share	Broker Fee
	100 General Electric Co. (GE) Transaction No. 2400144711146	\$11.67	\$5.95

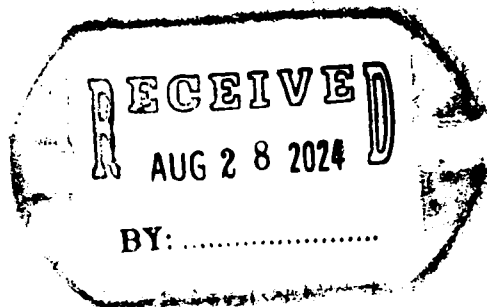
**Sold on March 24, 2020. Total shares sold 201.02955 (201 Shares sold @ \$6.7734 and 0.02955 Shares sold @ \$6.77) for a total amount of \$1,361.59. Broker Fee: \$5.95.**

	Shares/Stock Name	Purchase Price/Share	Broker Fee
	500 Workhorse Group Inc. (WKHS) Broker: E-Trade	\$1.9857	\$5.95

**Sold on March 24, 2020. Total shares sold 500 @ \$1.69 per share for a total of \$844.92. Broker Fee: \$5.95.**

Tom and Wendy Jay

20 AUG 2024 PM 1 L



2019 Apple Securities Settlement

P.O. Box 5100

Larkspur, CA 94977-5100

94977-510000

